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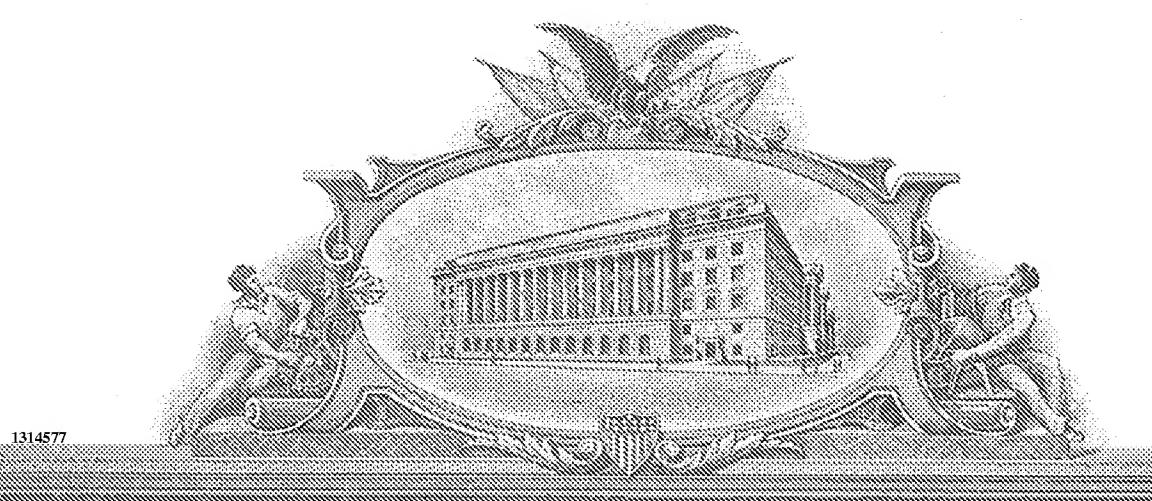
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April 27, 2005

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APPLICATION NUMBER: 60/540,688

FILING DATE: January 30, 2004 RELATED PCT APPLICATION NUMBER: PCT/US05/03183

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Preeya		TOSCA10 Kapul		FRE	der	ick, MD	
Additional inventors are	being named on the		separately nur	mbered sheets a			
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This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NEW NITROXYL DONORS

by

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NOVEL NITROXYL DONORS

This invention was made with Government support under gm-58109 awarded by the PHS. The Government has certain rights in the invention.

All references cited in this provisional patent application are herein incorporated by reference, each in its respective entirety.

This form is to be completed and submitted to the JHU office of Licensing and Technology Development (LTD) by anyone who believes they have developed a new invention. The purpose of this form is to enable LTD to evaluate whether legal protection to the invention will be sought and/or commercialization pursued. Please submit this form with all inventor(s) and Department Director(s) signatures. Visit the LTD web site at http://jhu.edu/technology/roi.html for HTML and Word downloadable formats of this form.

INVENTION INF	ORMATION
Title of Invention: [Title should be sufficiently descriptive to iden	ntify the invention yet not reveal unique unpublished details.]
: : New Nitroxyl Don	ors
Name of Lead Inventor: Toscano, John P., Ph.D.	
Last	First Middle Degree
Lead Inventor Information: [The Lead Inventor is the pri- with this Report of Invention, including processing, patent pro- efficiency, it is the responsibility of the Lead Inventor to keep Invention informed of the status of such matters.]	secution and licensing. For reasons of administrative
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Are you a Howard Hughes Medical Institute employee or in Are you a Kennedy Krieger Institute employee or investigation	tor? Yes No
Additional inventors: Yes No If yes, please compl	ete Additional Inventors section for each inventor.
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JHn Ref: 4390

ADDITIONAL INVENTION INFORMATION

Please copy this page for additional inventors as necessary

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Are you a Howard Hughes Are you a Kennedy Kriege	Medical Institute employee r Institute employee or inves	stigator?	Yes No Yes No JHU REF:	

INVENTION DESCRIPTION Describe the invention completely, using the outline given below. Please provide an electronic copy of the invention disclosure document, references, and abstracts in Windows format on CD-ROM or floppy disk if possible 1. Marketing Summary [Please provide a non-confidential summary of the invention that can be used for marketing purposes. Unique details that are published may also be included.] New nitroxyl (NO-/HNO) donors have been developed based on diazen-1-ium-1,2-diolate derivatives (R¹R²N[N(O)=NO]Na). Such derivatives normally decompose under physiologically relevant conditions to amine (R¹R²NH) and nitric oxide (NO). These newly developed derivatives, however, give nitrosamine (R¹R²NN=O) and nitroxyl. These new nitroxyl precursors have been shown to have analogous effects in the treatment of heart failure as has previously been observed with the established nitroxyl donor Angeli's salt. ☐ Yes ⊠ No SOFTWARE -Does this disclosure include a software element or software is implemented in the invention If yes, please complete the Software Information Form which can be found at: __ ☐ Yes ⊠ No BIOLOGICAL MATERIAL - Does this disclosure include biological material, If yes, please attach a list of materials for reference. A Tangible Property Report of Invention form may be completed if the disclosure is biological materials only. You can find this form at: http://www.hopkinsmedicine.org/lbd/otl/ 2. Problem Solved [Describe the problem solved by this invention] Most importantly, these new nitroxyl precursors are novel compounds. In addition, almost all previous physiological studies probing the effects of nitroxyl have used Angeli's salt, which decomposes with a half-life of approximately 2 minutes. A potential reaction pathway for nitroxyl is dimerization to provide ultimately nitrous oxide (N2O) and water. Because this second-order reaction is dependent on the local concentration of nitroxyl, the rate at which nitroxyl

is produced determines what portion of it is available for other chemistry, i.e., faster decomposition rates lead to more dimerization. Our newly developed compounds have half-lives of approximately 12 minutes. Moreover, this half-life may potentially be varied by changing R¹ and/or R². Thus, studies with these new precursors (and analogous derivatives) will help to determine if biological responses due to nitroxyl can be enhanced (or retarded) by its delivery rate.

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3. Novelty [Identify those elements of the invention that are new when compared to the current state of the art]
The compounds themselves are novel.
4. Potential Commercial Use - [What products can be produced with this invention.]
The administration of a nitroxyl-donating compound either alone, in combination with a positive inotropic agent, or to a subject receiving beta-antagonist therapy can be used to treat heart failure of all classifications. In particular, a nitroxyl-donating compound can be used to treat early-stage chronic heart failure, such as Class II heart failure. Potentially, nitroxyl-donating compounds can be used also in subjects suffering from hypertension.
5. Commercialization - List any companies that you feel may be interested in this technology or are doing
similar research. Indicate how the invention complements the company's existing technology. If known, provide the names of any companies (and a contact person) that have contacted you regarding your research related to the invention.
No company interest known at this time.

JHn Ref: 4390

Keywords - Please circle th	e categories and keywords that	at accurately describe the pres	ent invention.
CHEMICAL	GENOMICS	Immunoassay	Pro-drug
Additives	Allele	Label	Proteins
Alternative Energy	Bioinformatic	PCR	Small Molecule
Antioxidants	cDNA	Protein Sequencing	Tissue Engineering
☐ Batteries	Epidemiology	Protein Synthesis	Transplant
Catalyst	EST	Reagent	Vaccine
Coal Conversion	Gene	Spectroscopy	Virus
Coatings	Homologue	Tissue Culture	Wound Healing
Effluent Treatment	Isogene	Vector	DISEASES
Elastimers	Library		Aging
Electrochemistry	Mutation	CORENING	Blood
Exhaust Treatment	Pharmacogenomics	SCREENING	Cancer
Foams	Polymorphism	Assay	X Cardiovascular
Food Chemistry	Positional Cloning	Biochip	Dermatologic
Fuel Cells	Proteomics	Combinatorial Biology	Endocrine
Gas Conversion	Receptor	Combinatorial Chemistry	Gastrointestinal
Gels	Roceptor RNA	Detection	Genitourinary
	Target Validation	HTS	Hepatic
Monomers	10.500 10.100.100	Phage Display	Immune
Oxidation		Screen	Infectious
Petroleum	MEDICAL DEVICE	Target	Metabolic
Photochemistry	Delivery		Musculoskeletal
Polymers	Diagnosis	THERAPEUTIC	Neurological
Remediation	Imaging	Analgesic	ObGyn
Solvents	Measurement	Anesthetic	Ophthalmological
	Optical	Angiogenesis	Oral
DIAGNOSTIC	Safety	Antibiotic	Pediatric
Antibody	Surgical	Antibody	Psychiatric
Assay	X Treatment	Antifungal	Respiratory
Biochip		Antiinflammatory	ADDITIONAL KEY WORDS:
Contrast Agent	RESEARCH TOOL	Antisense	
Detection	Animal Model	Antiviral	
DNA Probe	Antibody	Apoptosis	
Elisa	Cell Line	Cell Signaling	
Imaging	Culture	Cell Therapy	
Immunoassay	Directed Evolution	Disease Model	
In Situ	DNA Probe	X Drug Delivery	
Marker	DNA/RNA Sequencing	X Drug Design	STAGE OF
Measurement	DNA/RNA Synthesis	Fertility	DEVELOPMENT
MRI	Electrophoresis	Gene Therapy	Unspecified
Point of Use	Elisa	Hormone	
Radioisotope	Enzyme	Immunotherapy	Discovery
Transgenic	Equipment	Natural Product	Preclinical
Ultrasound	Expression System	Peptides	Prototype
			Phase I
			Phase II
			Dhase III
			Phase III
			NCE
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JHU REF: 4390

Detailed Description of the Invention

Compounds containing the diazenium diolate [N(O)=NO] functional group have proven useful as research tools in a variety of applications requiring spontaneous release of nitric oxide (NO). Anions such as 1-(N,N-dialkylamino) diazen-1-ium-1,2-diolates 1 (where R is alkyl) are stable as solid salts, but release up to 2 mol of NO when dissolved in aqueous solution at physiologically relevant conditions.

The formation of such compounds by the reaction of NO with nucleophiles such as amines has been known since the 1960's. More recently, Keefer and co-workers have shown that the rate of NO release can be varied by modifying the substituents R, pH, or temperature, and have developed anions with half-lives in aqueous buffer at pH 7.4 and 37 °C ranging from two seconds to 20 hours. In addition, diazeniumdiolate solution half-lives tend to correlate very well with their pharmacological durations of action, suggesting that they are minimally affected by metabolism. These compounds have shown great potential in a variety of medical applications requiring either the rapid production or gradual release of NO, and have allowed biological consequences of NO delivery rates to be probed.

A major factor affecting decomposition rate is ease of protonation at the amine nitrogen leading to amine and 2 equivalents of NO:

We reasoned that if protonation at this site was made very unfavorable that an alternate decomposition pathway to nitrosamine and nitroxyl (NO/HNO) may become available:

Thus, we observe completely different decomposition products for the related N-methylaniline derivatives 2 with X = H or CN. For the parent compound 2 (X = H) we observe the normal decomposition to amine and NO with a half-life of approximately 4 minutes at pH 7.4 and 37 °C. With an electron-withdrawing substituent, however, protonation at the aniline nitrogen becomes very unfavorable and decomposition to nitrosamine and nitroxyl, with a half-life of approximately 12 minutes at pH 7.4 and 37 °C, is observed for 2 (X = CN).

Each of these compounds has been tested for their effects on cardiac function in canine models. In agreement with the observed products, 2 (X = H) behaves as an NO-donor, whereas 2 (X = CN) behaves as a nitroxyl-donor. We believe that compound 2 (X = CN) and analogous derivatives (described in the following Workable Extent/Scope section) have great potential in the treatment of heart failure.

Synthetic Procedure: Compounds 2 were prepared by treating a solution of the appropriate N-methylaniline derivative (1 g) in methanol (5 mL) with one equivalent of sodium methoxide (25 % w/w in methanol) in a standard Parr hydrogenation bottle. The reaction vessel was purged with nitrogen and then saturated with excess NO. The reaction was allowed to stir at room temperature for 48 hours during which time the pressure of NO gas was maintained at approximately 40 psi. The product was isolated by filtration and washed with ethyl ether and dried under vacuum. Half-lives were determined by UV-Vis spectroscopy at 37 °C in pH 7.4 phosphate buffer. NO was detected electrochemically using an iNO Measuring System with an amino 700 probe (Innovative Instruments). Nitroxyl was measured by trapping with methemoglobin as has been described in the literature.

Workable Extent/Scope

Our results obtained to date are easily extendable to related derivatives that can be expected to follow the same decomposition pathway to nitrosamine and nitroxyl. Obvious examples are listed below. Another issue that will require further research is related to the nitrosamine byproduct. Although many nitrosamines are carcinogenic, the extent of carcinogenicity can be greatly reduced or eliminated by blocking sites for enzymatic hydroxylation, the key activation step leading to subsequent DNA alkylation (e.g., by substitution at the carbon alpha to the N-nitroso functionality or by carboxylic acid substitution). The toxicity of the nitrosamine derived from 2 (X = CN) is not yet known, but it is not expected to be high based on related nitrosamines that have been reported in the literature. 11

Other N-Methylaniline Derivatives

where R is H, a primary, secondary, or tertiary alkyl group, or an aromatic group; X is an electron-withdrawing substituent (e.g., halogen, CN, NO₂, CO₂H, CO₂R, CF₃); Z is H, an alkyl group, or an electron-withdrawing substituent (e.g., halogen, CN, NO₂, CO₂H, CO₂R, CF₃); Y is H or CO₂H.

Other Proline Derivatives

(N-nitrosoproline is known to be non-carcinogenic.)

where X is a halogen and Y is an H or halogen.

Other Diethylamine Derivatives

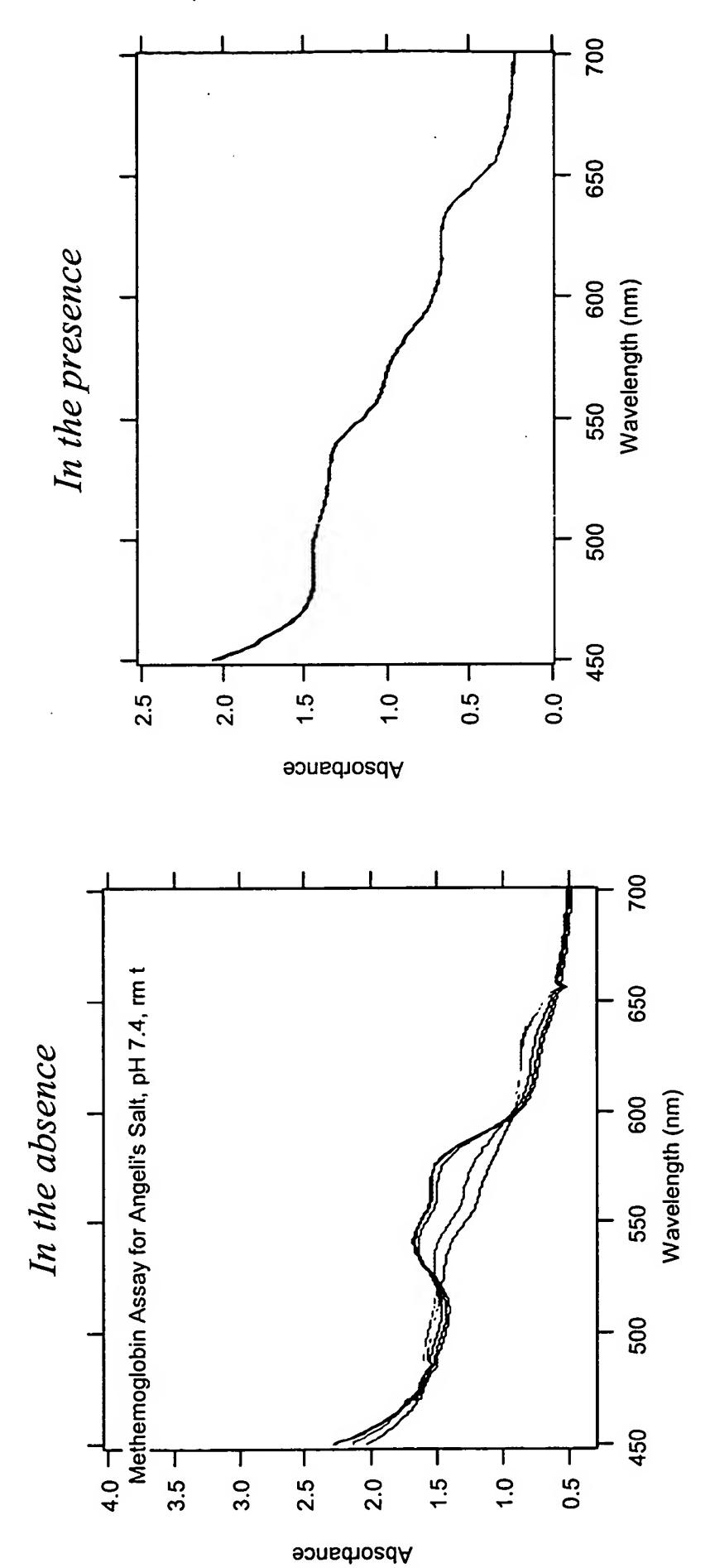
where R is an H or alkyl group and X is an electron-withdrawing group (e.g., halogen, CN, NO₂, CO₂H, CO₂R, CF₃).

References

- (1) Hrabie, J. A.; Keefer, L. K. Chem. Rev. 2002, 102, 1135-1154.
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- (3) Drago, R. S.; Paulik, F. E. J. Am. Chem. Soc. 1960, 82, 96-98.
- (4) Drago, R. S.; Ragsdale, R. O.; Eyman, D. P. J. Am. Chem. Soc. 1961, 83, 4337-4339.
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- (6) Keefer, L. K. Annu. Rev. Pharmacol. Toxicol. 2003, 43, 585-607.
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- (9) (a) Addison, A. W.; Stephanos, J. J. Biochemistry, 1986, 25, 4104-4113. (b) Bazylinski, D. A.; Hollocher, T. C. J. Am. Chem. Soc. 1985, 107, 7982-7986.
- (10) Lijinsky, W. Chemistry and Biology of N-Nitroso Compounds, Cambridge University Press: Cambridge, UK, 1992.
- (11) (a) Guo Z.; McGill A.; Yu L.; Li, J.; Ramirez, J.; Wang P. G. Bioorg. Med. Chem. Lett 1996, 6, 573-578. (b) Guo Z.; Xian M.; Zang, W.; McGill A.; Wang P. G. Bioorg. Med. Chem. Lett. 2001, 9, 99-106.

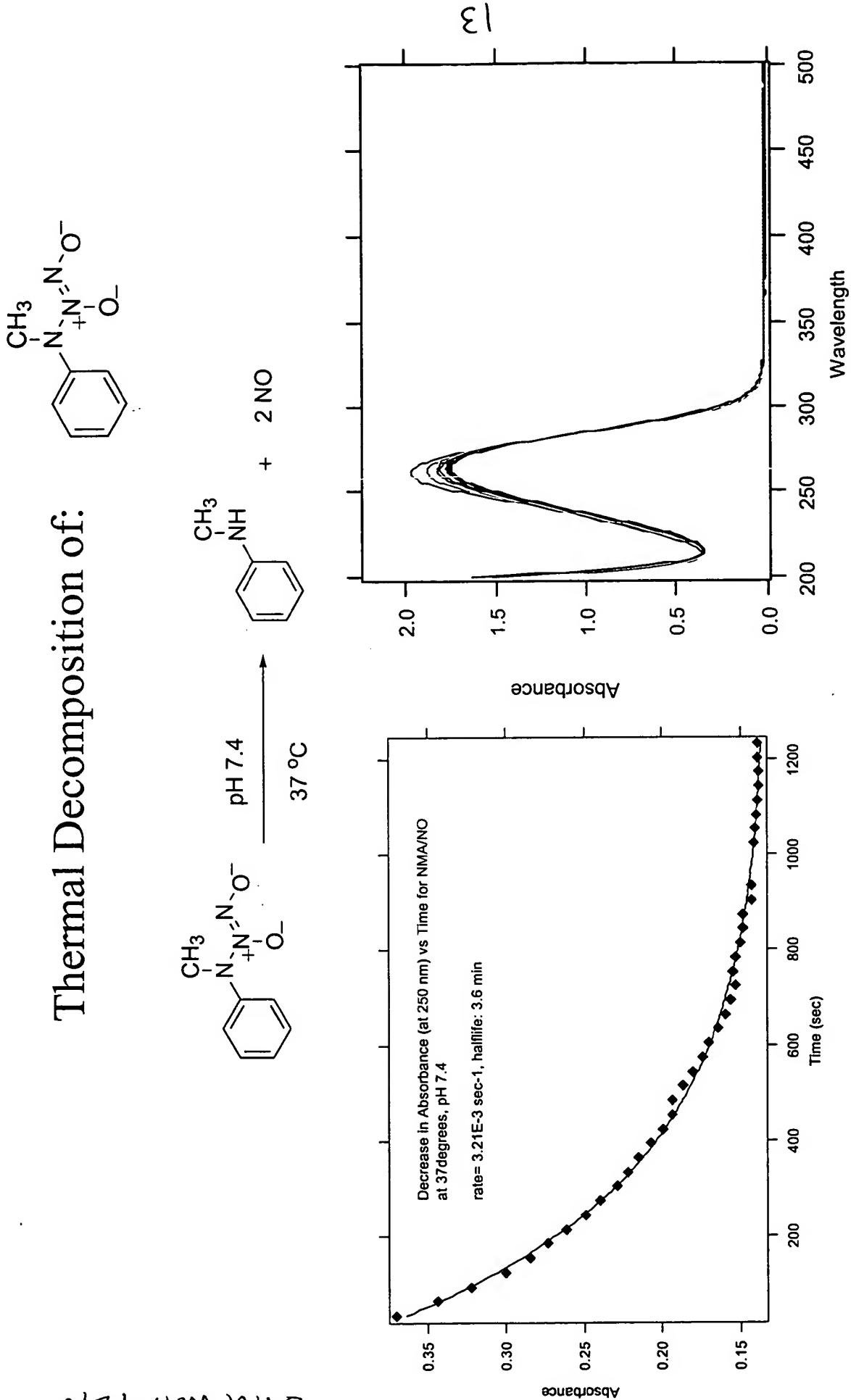
ssays with Glutathione for Angeli's Salt Quenching Methemoglobin A

reacts with HNO, therefore it is a good indicator of whether or not the Fe(II)-NO signal (seen on the left) is from HNO or some other reaction pathway. Loss of any growth around the 520-580 nm (seen on the right) region indicates quenching of the reaction Glutathione reacts with HNO faster than Fe(III)



100 uM HNO donor, pH 7.4 50mM phosphate buffer; (right) same with added 1mM glutathione (left), 50uM Methemoglobin,

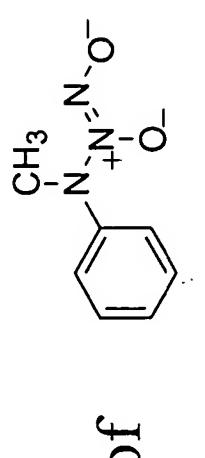
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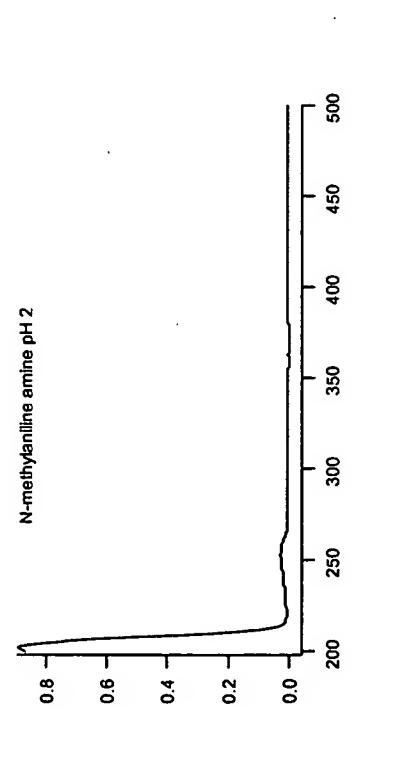


absorbance of NO donor). (right): spectral data of the decay taken over a period of 1 hour. degrees C, pH7.4, monitored at 250 nm (max (left): Kinetics of decomposition at 37

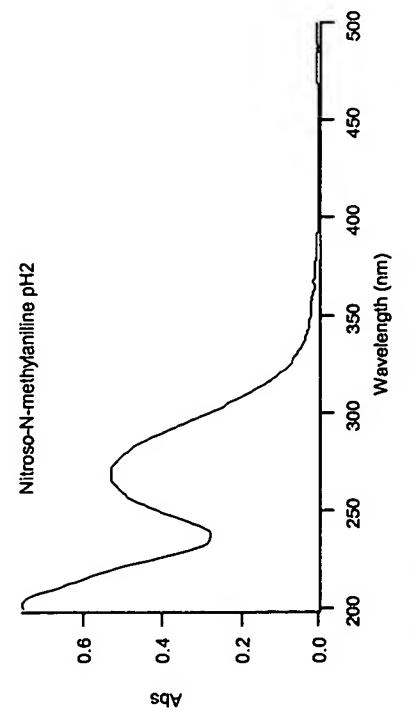
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Decomposition Assay of

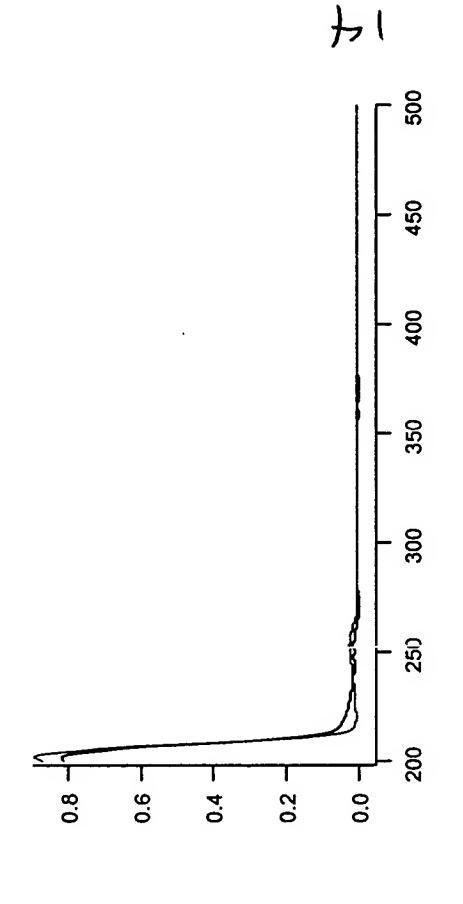






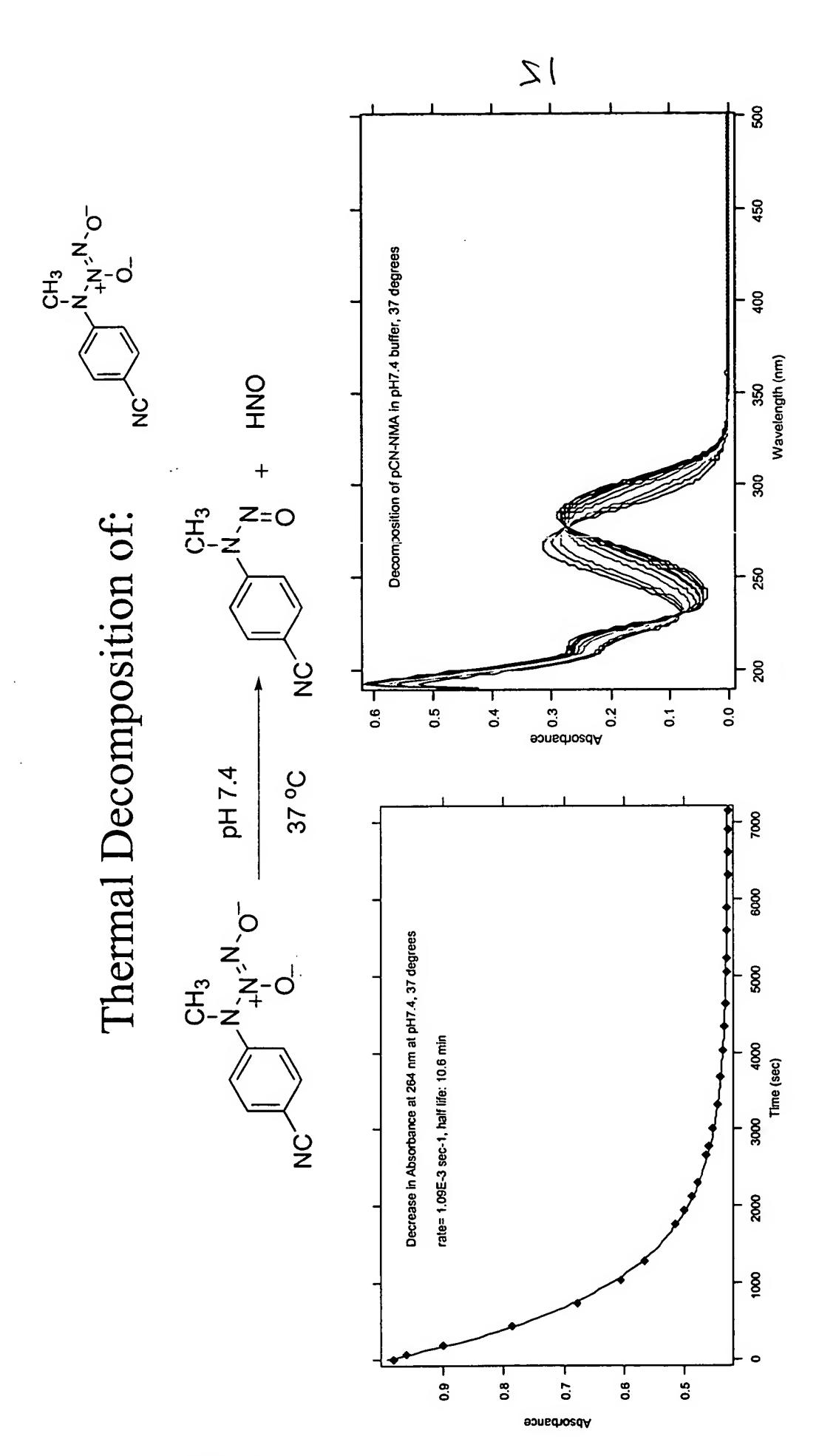


B. N-Nitroso-N-methylaniline UV spectrum at pH2,



C. Product of Decomposition at pH2 in an anaerobic environment. In red is the overlay of N-methyl aniline UV specirum at pH2.

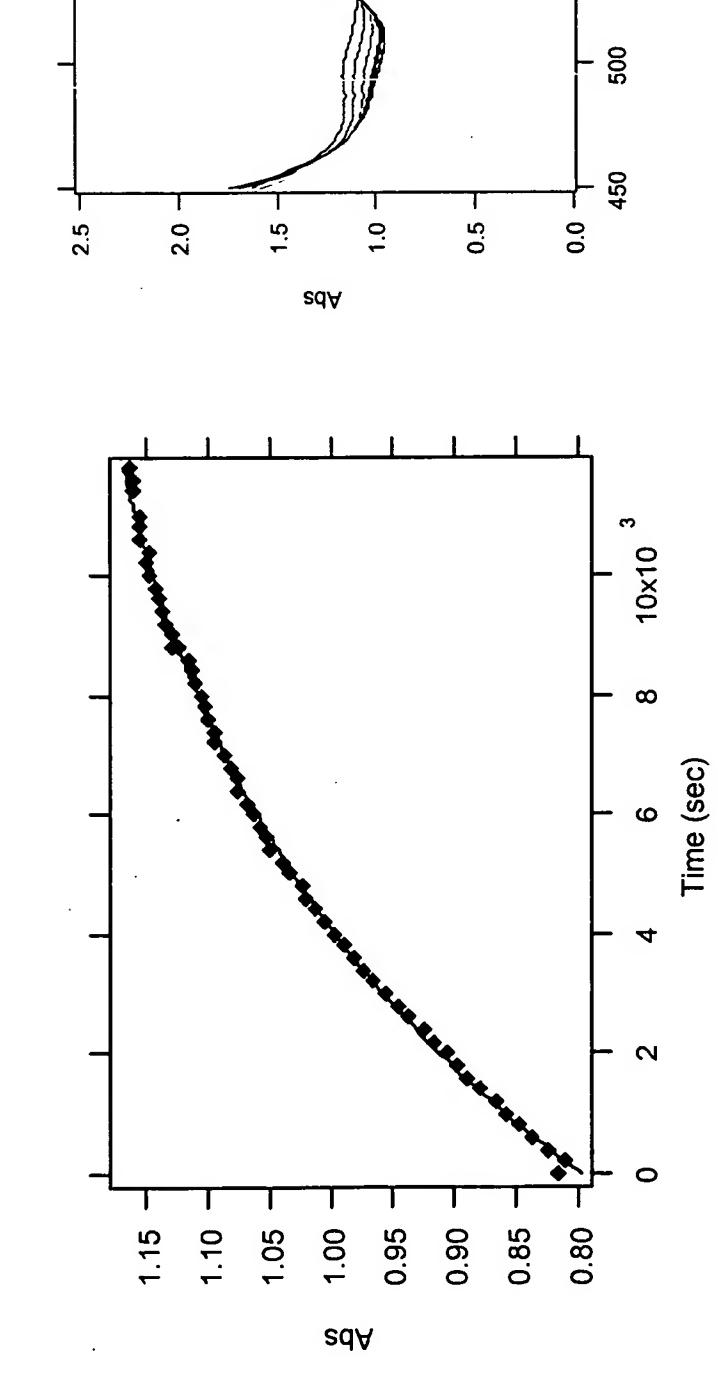
This assay shows that no nitrosamine is formed cluring decomposition, nitrosamine is a product of the nitrosamine/HNO complexes, not amine/NO complexes under these conditions.



(left): Kinetics of decomposition at 37 degrees C, pH7.4, monitored at 264 nm (max absorbance of HNO donor). (right): spectral data of the decay taken over a period of 2 hours.

Spectral Monitoring of Hb⁺ binding to HNO

Kinetics of Hb⁺ binding to HNO



 $(E=13,000\ M^{-1}cm^{-1})$ is equal to 1 eq of HNO (right): spectral data taken over a period of (left): Kinetics of Fe(II)-NO production at pH7.4, monitored at 572 nm, concentration of HNO donor: 100 uM and Methemoglobin 50 uM; The change in absorbance at 572 nm 2 hours.

700

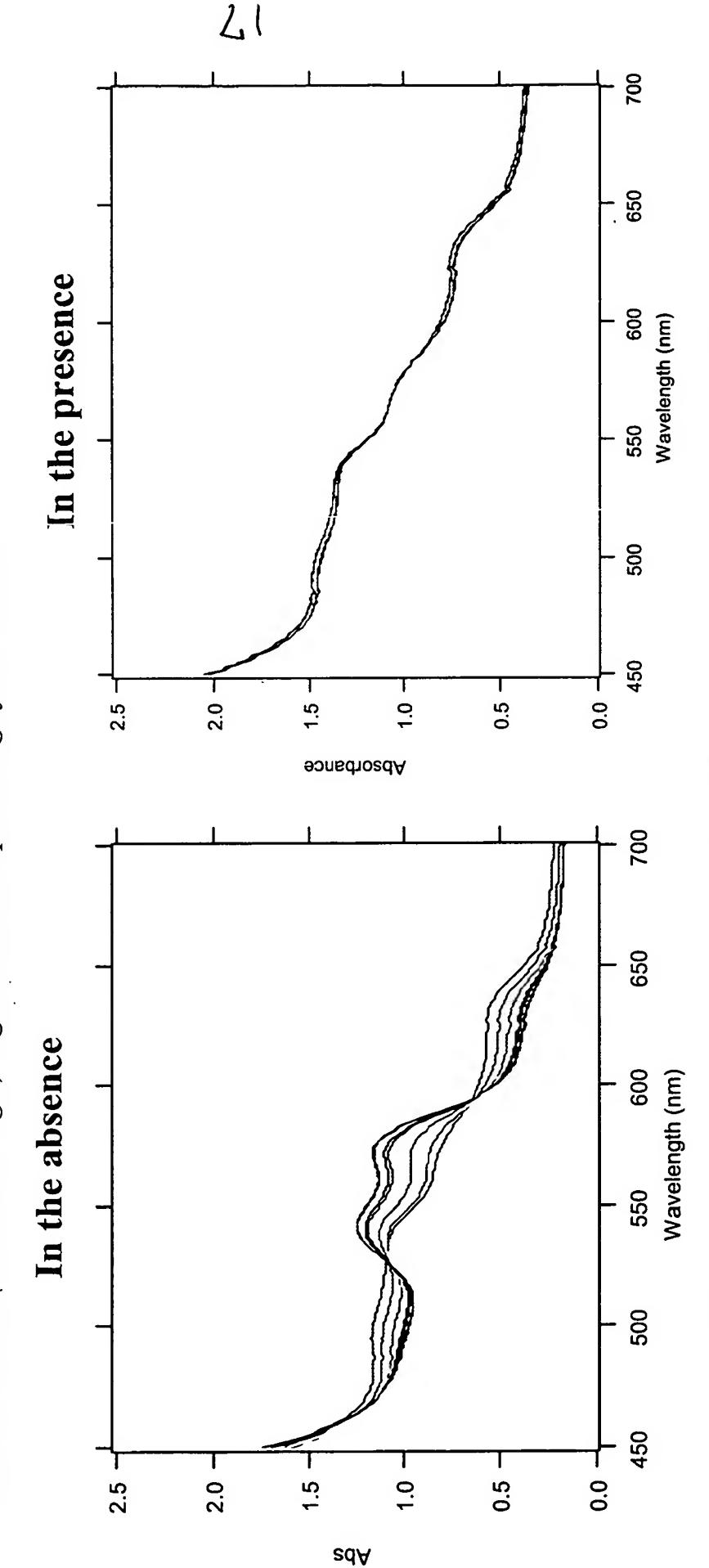
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Wavelength (nm)

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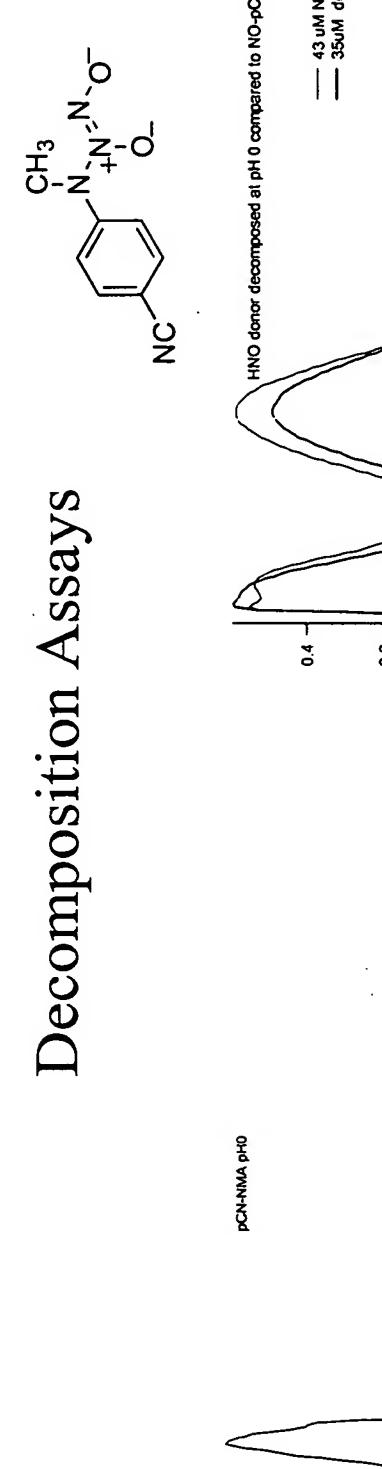
reacts with HNO, therefore it is a good indicator of whether or not the Fe(II)-NO signal (seen on the left) is from HNO or some other reaction pathway. Loss of any growth around the 520-580 nm (seen on the right) region indicates quenching of the reaction Glutathione reacts with HNO faster than Fe(III)



(left), 50uM Methemoglobin, 100 uM HNO donor, pH 7.4 50mM phosphate buffer; (right) same with added 1mM glutathione

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A. p-cyano-N-methylaniline UV spectrum at pH0

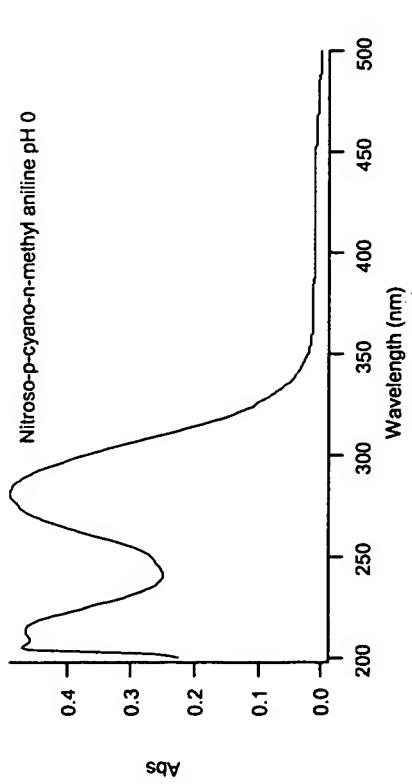
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450

350 Wavefength (nm)

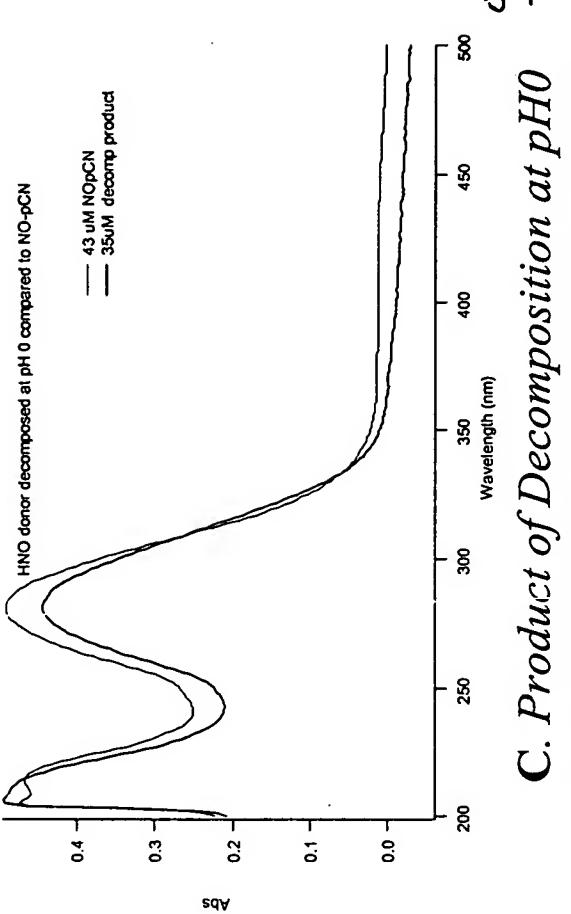
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pH0.

methylaniline UV spectrum at pH0 B. N-Nitroso-p-cyano-N-



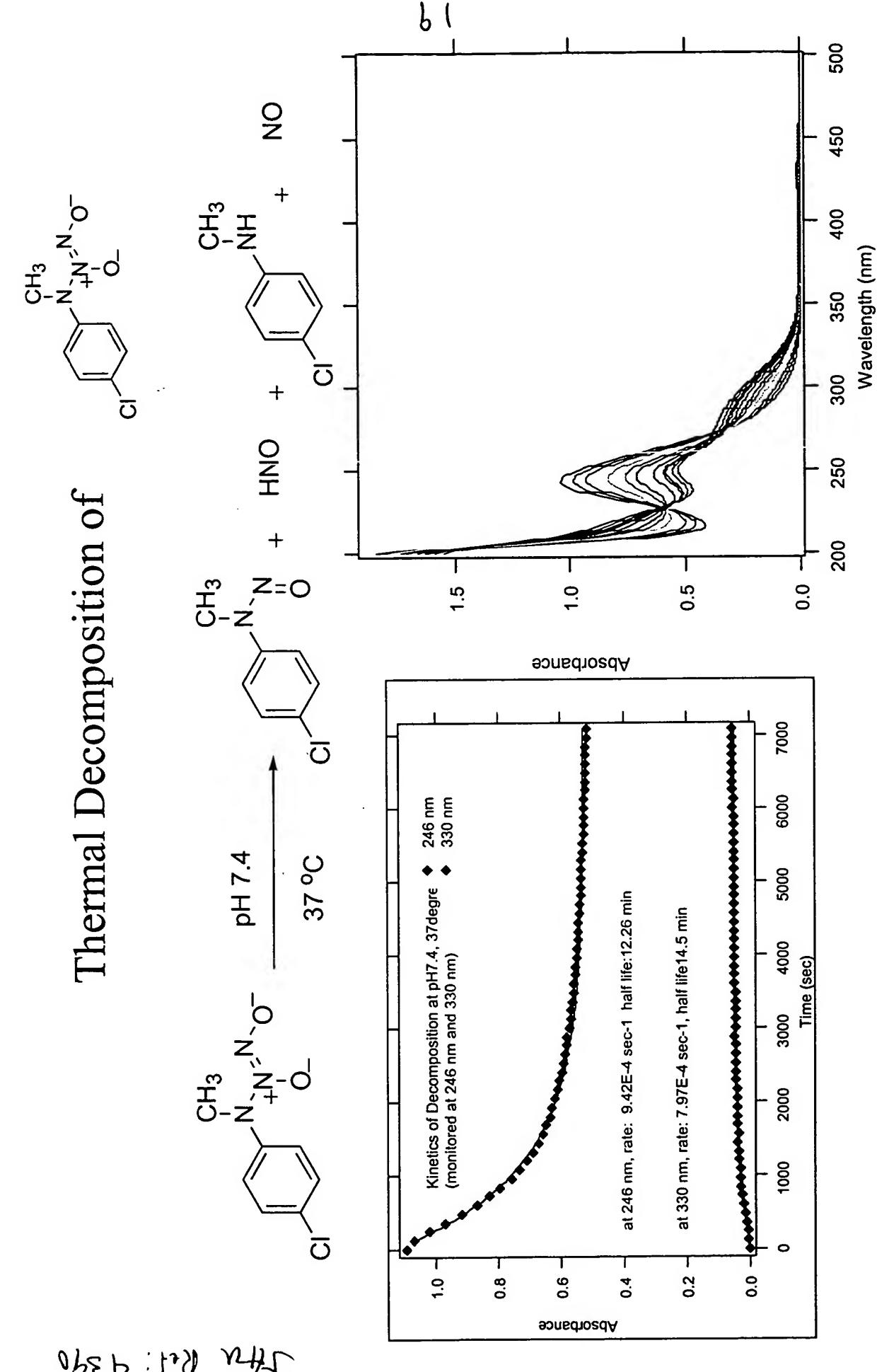
in an anaerobic environment. In red is the overlay of p-cyano-N-nitroso-N-methyl aniline UV spectrum at

proposed product of decomposition of This assay shows that nitrosamine is formed during decomposition, a HNO/nitrosamine complexes

0.8

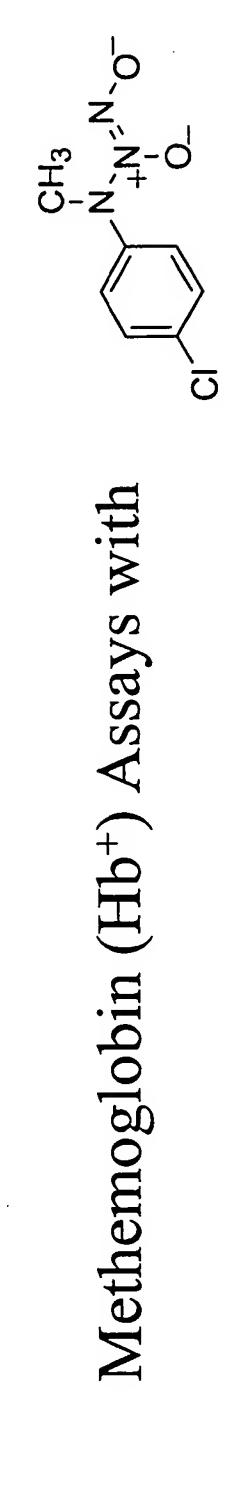
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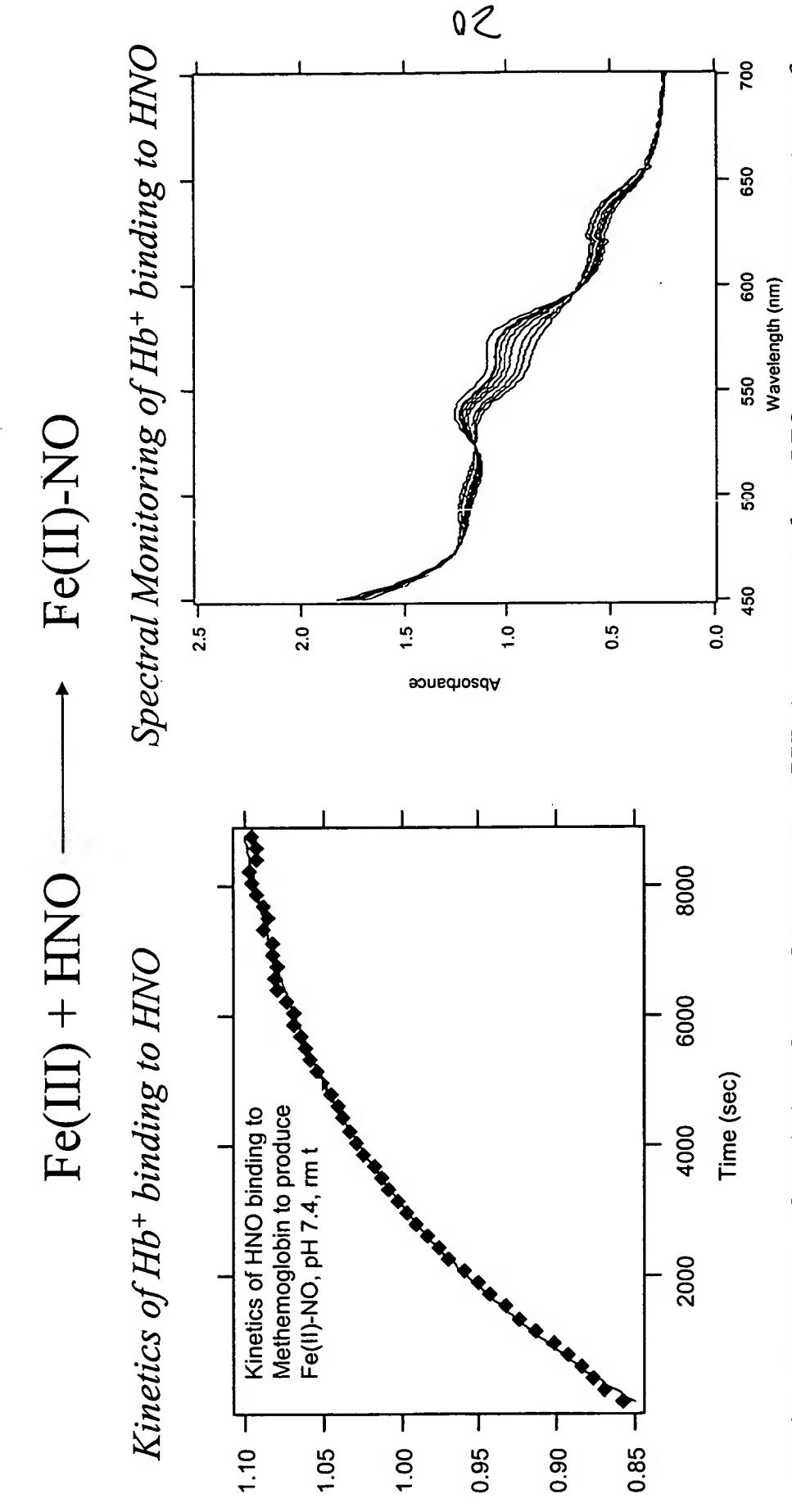
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(left): Kinetics of decomposition at 37 degrees C, pH7.4, monitored at 246 nm (max absorbance of HNO/NO donor). (right): spectral data of the decay taken over a period of 2 hours.

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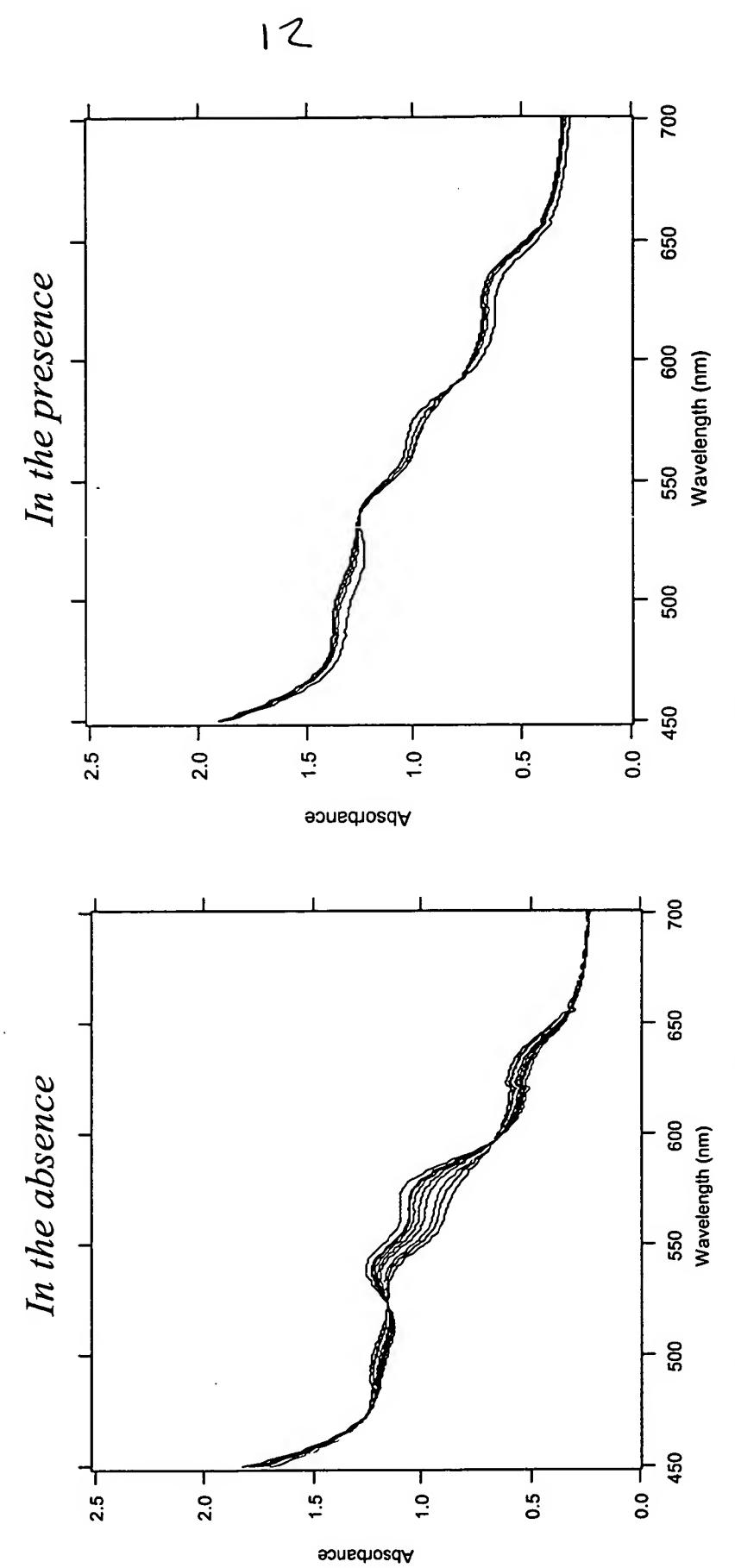
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of HNO (right): spectral data taken over a period (left): Kinetics of Fe(II)-NO production at pH7.4, monitored at 572 nm, concentration of HNO donor: 100 uM and Methemoglobin 50 uM. The change in absorbance at 572 nm $(E=13,000 M^{-1} cm^{-1})$ is equal to .63 eq of 2 hours.

242 Ref: 4390

Quenching Methemoglobin Assays with Glutathione

that releases one equivalent of HNO per molecule. Angeli's Salt is a known HNO donor



(left), 50uM Methemoglobin, 100 uM HNO donor, p.H 7.4, 50mM phosphate buffer; (right) same with added ImM glutathione

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Dog preparation and methods:

Male mongrel dogs (20 to 30 kg) were anesthetized with 1-2% Isoflurane after induction with sodium pentothal. The chest was opened via a lateral thoracotomy, and indwelling catheters (Tygon; Norton Plastics and Synthetic Division) secured in the right atrium (for drug infusion) and in the descending aorta (for pressure measurement). An indwelling high-fidelity micromanometer (P22, Konigsberg Instruments) was placed in the left ventricle (LV) through an apical stab. Two endocardial sonomicrometer crystals were placed at the cardiac base - from which a left ventricular antero-posterior internal dimension was generated. A coronary flow probe (Transonic) was placed at the proximal left circumflex coronary artery to measure coronary flow velocity. A pneumatic occluder was placed around the IVC to allow preload reduction for assessing PV relations. Pacing leads were attached to the left atrium for acute pacing during experimentation. After the chest was closed, catheters and leads were externalized to the midscapulae and protected by an external jacket. Analgesia (buprenorphine 0.3 mg/kg every 12 hours) was given in the immediate postoperative period as necessary, and antibiotics administered for the first 72 hr post-operative period. Dogs were allowed 10 days for recover prior to studies.

Studies were performed with animals supported in a sling apparatus, conscious, with all sensors connected to signal processors and custom software for displaying real-time pressure-dimension data. Hemodynamic measurements were performed at the constant atrial pacing rate (140 beats per minute). To identify the role of baroreflex activation, 10% (wt/vol) dextran was rapidly infused to restore chamber loading to baseline. Chronic heart failure (CHF) was induced by chronic rapid ventricular pacing at a rate of 210 beats per minute for 3 weeks followed by 240 beats per minute for 1 week.

Results:

In control dog. Compound A and Compound B were administrated to a healthy control dog at the dose of $2.5\mu g/kg/min$. Table 1 shows the summary data. Both Compound A and Compound B increased load-independent contractility indexes (End-systolic elastance; Ees, +25.2% and +109.6%, respectively), and reduced preload (end-diastolic dimension, EDD; -11.1% and -12.9%, respectively) and afterload (total resistance, RT; -24.0% and -15.1%, respectively). But after volume loading, Compound A had no effect on myocardial contractility, while Compound B still enhanced contractility (Ees; -14.4% and +45.4%, respectively).

In CHF dog. Figure 1 shows representative P-D loops in a CHF hearts with compound B administration $(1.25\mu g/kg/min)$ and volume restoration. EDD and systolic pressure both declined, whereas Ees was enhanced, denoted by its left shift and higher slope (middle). Even after EDD and systolic pressure was restored by volume loading, Ees was still enhanced (bottom). Table 2 provides summary data. Compound B reduced pre-load (EDD; -9.9%) and after-load (RT; -26.1%), and enhanced contractility (Ees; +70.6%). Positive inotropic effect was still observed (Ees; +33.5%) after volume restoration (EDD; -2.2%, end-systolic pressure; -4.6%).

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Table 1. Cardiovascular effects in control dog.

	Comound A(2.5μg/kg/min)			Comound B (2.5µg/kg/min)		
	before	after	+ volume loading	before	after	+ volume loading
Ees (mmHg/mm)	11.6	14.5	9.9	8.5	17.9	12.4
Tau (msec)	34.4	31.6	32.0	38.5	30.4	33.9
LVEDD (mm)	31.1	27.7	30.7	32.5	28.3	31.6
LVESD (mm)	23.6	20.7	22.3	23.4	20.0	21.6
LVESP (mmHg)	137.4	96.3	118.4	137.4	107.9	123.9
LVEDP (mmHg)	5.5	2.6	5.5	9.9	5.7	5.3
RT (mmHg/mm/sec)	7.3	5.6	5.6	6.1	5.2	5.0

Ees, end-systolic elastance; D_{EDD}, dP/dt-end-diastolic dimension relation; PRSW, prerecruitable stroke work; LVEDD, left ventricular end-diastolic dimension; LVESD, left ventricular end-systolic dimension; LVESP, left ventricular end-systolic pressure; LVEDP, left ventricular end-diastolic pressure; RT, total resistance.

Table 2. Compound B induced changes in control and CHF dog.

	Control		CHF		
	Comound B (2.5µg/kg/min)	+ volume loading	Comound B $(1.25\mu g/kg/min)$	+ volume loading	
Ees (mmHg/mm)	+109.6%	+45.4%	+70.6%	+33.5%	
Tau (msec)	-21.0%	-12.0%	-21.5%	-19.7%	
LVEDD (mm)	-12.9%	-2.7%	-9.9%	-2.2%	
LVESD (mm)	-14.3%	-7.4%	-11.5%	-6.5%	
LVESP (mmHg)	-21.5%	-12.0%	-18.6%	4.6%	
LVEDP (mmHg)	-36.8%	-8.4%	-44.4%	-9.2%	
RT (mmHg/mm/sec)	-15.1%	-18.7%	-26.1%	-35.6%	

Ees, end-systolic elastance; D_{EDD}, dP/dt-end-diastolic dimension relation; PRSW, prerecruitable stroke work; LVEDD, left ventricular end-diastolic dimension; LVESD, left ventricular end-systolic dimension; LVESP, left ventricular end-systolic pressure; LVEDP, left ventricular end-diastolic pressure; RT, total resistance.

Figure 1

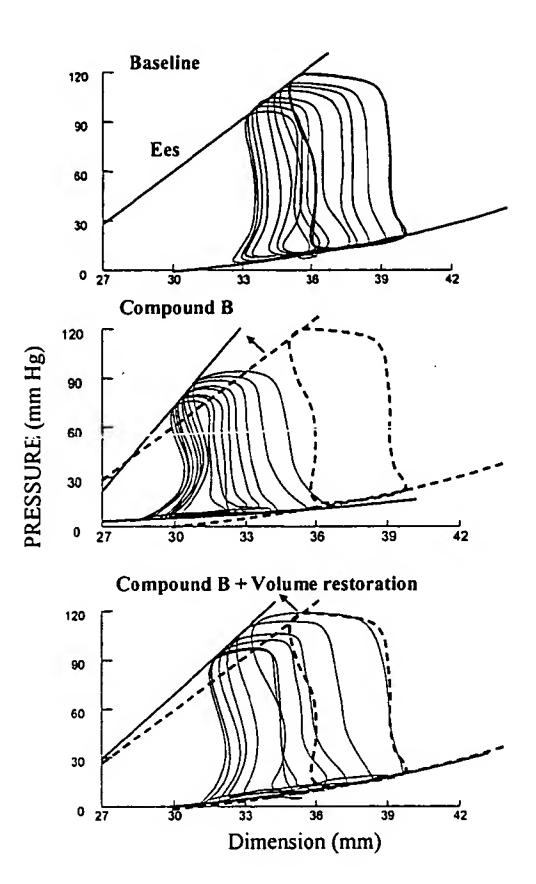


Figure 1 demonstrates efficacy of new HNO donor in the *in vivo* canine heart. The top panels display pressure-dimension loops and relations under baseline conditions. Upper line reflects contractile function. The middle panel displays results of infusion of the new HNO donor (Compound B) in the same animal. The leftward shift of the end-systolic pressure-dimension relation (line, upper left of loops) indicates positive contractile effect. This was accompanied by a decline in chamber preload volume (i.e. venodilation) (loops shift leftward as well). To minimize this effect, we infused volume to the animal restoring preload volume to the baseline level (lower panel). There is still a clear increase in contractile function (arrow) with Compound B. Thus, the new compound is a positive inotrope and venodilator in the conscious dog.